

B 2100A (Form 2100A) (12/15)

## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

LGT Bank AG  
Name of Transferee

Bank Julius Baer & Co. Ltd.  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
LGT Bank AG  
Attn. Mr. Helmut Allgaeuer  
Herrengasse 12  
FI-9490 Vaduz  
Principality of Liechtenstein

Court Claim # (if known): 55829  
Amount of Claim: Partial transfer of claim.  
See attached Evidence of  
Transfer of Claim  
Date Claim Filed: 10/29/2009

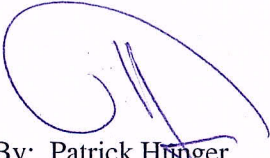

Phone: +423 235 1558  
Last Four Digits of Acct #: n/a

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: n/a

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

   
By: Patrick Hunger Patrik Lengwiler Date: 21 October 2016  
Transferee/Transferee's Agent

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Julius Baer & Co. Ltd.** ("Transferor") unconditionally and irrevocably transferred to **LGT Bank AG, Herrengasse 12, FL- 9490 Vaduz** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **55829**) in the **units 500** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

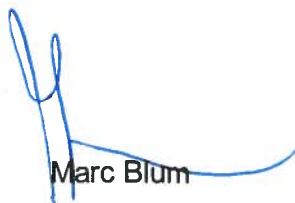
Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 19 day of October 2016.

**Bank Julius Baer & Co. Ltd.**



Fabian Burckhardt



Marc Blum

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Units</b>
ANN5214A3502	55829	29 October 2009	Lehman Brothers Securities Co. NV	500